

# YVETTE DUENAS

WORK

1401 McKinney Street, Suite 1600  
Houston, Texas 77010

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## PROFESSIONAL EXPERIENCE

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2016-Present

**GARCIA HAMILTON & ASSOCIATES, L.P.**

**HOUSTON, TX**

**Partner / Portfolio Manager** (*January 2021-Current*)

**Investment Analyst** (*September 2016 – December 2020*)

- Launched and currently manage the firm's municipal bond investment strategy, overseeing \$140 million in assets under management.
- Direct all facets of municipal bond investing including portfolio analysis, trade execution, credit research, and broker engagement.
- Designed proprietary credit review frameworks used to evaluate issuer risk and ensure adherence to client-specific IPS guidelines.
- Contribute insights on economic conditions and sector trends to inform broader team strategy discussions.
- Prepare and deliver presentations to board trustees and investment officers, offering detailed firm, market and performance updates.
- Develop and maintain relationships with consultants and clients to promote the firm's fixed income strategies.
- Respond to and complete municipal bond RFPs to support business development and client retention.

2011-2013

**OPPENHEIMER & COMPANY INC**

**HOUSTON, TX**

**Associate – Institutional Fixed Income Sales** (*August 2013- August 2016*)

- Oversaw and managed a \$35 billion fixed income book, serving institutional clients including banks, RIAs, and insurance companies.
- Executed fixed income trades across corporates, agencies, municipals, and structured products.
- Collaborated closely with clients and traders to deliver timely market information, security ideas, and customized investment solutions, ensuring all policies and guidelines were met.

2011-2013

**RAYMOND JAMES / MORGAN KEEGAN**

**HOUSTON, TX**

**Registered Sales/Trading Assistant II– Institutional Fixed Income Sales** (*May 2012-May 2013*)

**Financial Advisor Trainee** (*July 2011-May 2012*)

- Partnered with a Broker in the daily surveillance of institutional fixed income accounts.
- Executed trades and collaborated with clients on security selection and investment ideas.
- Analyzed client portfolios and recommended securities in alignment with economic trends and client objectives.
- Developed new business opportunities, as well as managing existing clients.
- Verified all transactions met company policies and followed approved guidelines.

2007-2011

**FROST BANK/FROST INVESTMENT ADVISORS**

**SAN ANTONIO, TX**

**Fixed Income Trader and Analyst** (*July 2007-June 2011*)

- Co-managed Frost Municipal Bond Funds totaling \$150 million and worked with Trust portfolio managers and management to implement recommendations and monitored events impacting the portfolios.
- Performed Municipal Bond trading for Frost Trust, Institutional, and Mutual Funds.
- Monitored industry and economic trends and contributed to team strategy discussions.
- Provided bond credit analysis, research support and relative value idea generation.

**2003-2007**

**VALERO ENERGY CORPORATION**

**SAN ANTONIO, TX**

**Accountant – Inventory and Derivatives** *(February 2004-July 2007)*

**Accounting Intern – Budgeting and Forecasting** *(June 2003 – January 2004)*

- Completed Mid-Continent third-party account reconciliations using MTS and SAP.
- Posted entries to general ledger while monitoring inventory prices.
- Prepared and booked necessary entries into SAP for futures, swaps, options.
- Assisted in enterprise budgeting and produced executive-level financial briefings and board materials.
- Coordinated across departments to ensure data integrity in forecasts and financial reports.

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**EDUCATION**

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**2007**

**OUR LADY OF THE LAKE UNIVERSITY**

**SAN ANTONIO, TX**

- M.B.A, Finance/Accounting

**2004**

**UNIVERSITY OF THE INCARNATE WORD**

**SAN ANTONIO, TX**

- B.B.A, Accounting
- Delta Mu Delta, National Honor Society
- Academic and Golf Scholarship Recipient
- Member, UIW Women's Golf Team

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**INDUSTRY ENGAGEMENT & QUALIFICATIONS**

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- Active participant in key pension and public finance conferences, including: TEXPERS, FPPTA, NCPERS, Texas Women in Public Finance, GIOA, Texas Wall Street Women, OPAL, Koried, SEO.
- Deep understanding of trustee responsibilities, fiduciary standards, and IPS compliance.
- Regular presenter to boards and investment committees of fire, police, and general pension systems.
- Committed to advancing diversity, transparency, and accountability in public fund oversight.

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**PROFESSIONAL EXPERIENCE**

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**WPA Family Office LLC, Dallas, TX**

***Chief Operations Officer***

**July 2024- Present**

- Collaborate with WPA Founder to ensure efficient and effective execution of the firm's strategy and operations
- Working with compliance advisors to ensure the firm adheres to all relevant regulations and best practices
- Monitors and implements technology solutions to improve operations enhance client service
- Coordinate Investment Committee meetings and analyze asset allocation assigned to various risk tolerances in clients
- Conduct due diligence on Private Investment managers.

**Sub Saharan Opportunity Fund, Dallas, TX**

***Chief Investment Officer***

**February 2017- December 2023**

- Co-Founded private equity credit fund, Sub Saharan Opportunity Fund (SSO), that provides conscious capital to small and medium sized entrepreneurs in West Africa.
- Monitor all macro indicators (currencies exchange rates, interest rates, inflation rates, etc.) as well as newly instituted monetary and fiscal policies by central banks and governments
- Vet all companies that fall within SSO's investment parameters for initial fund
- Analyze financial statements and business plans of companies that are potential candidates for investment
- Review, value, and conduct research for assets designated as collateral
- Underwrite debt obligations from refinancing arrangements to mezzanine financing

**NorCap Investment L.P., Dallas, TX**

***Director of Business Development and Investor Relations***

**October 2020-December 2021**

- Worked at a \$400 million hedge fund that trades tail risk with short durations.
- Spearheaded the formation of three new funds in a span of 4 months.
- Responsible for all written distributions, content in marketing materials and investor communications.
- Developed business plan for incremental asset growth with regard to new products and sub-advisory agreements with vetted money managers. Conducted due diligences of managers.
- Attended conferences and industry events to increase firm's exposure and to generate market analysis.
- Responded to RFPs and DDQs conducted by potential investors. Responsible for existing investor inquiries.
- Automated the subscription agreement process.
- Worked closely with COO, CCO and Trading Team.

**Cannae Capital Management, Dallas, TX**

***Director of Operations and Investor Relations***

**March 2014-February 2016**

- Worked at a high yield/distressed assets fund incubated in a family office
- Coordinated with fund accountants and auditors to prepare NAVs and financials, respectively. Worked on cost analysis models to manage expenses
- Designated point person between lawyers and compliance consultants (Blue River). Created internal Compliance manual, and prepared Due Diligence Questionnaires. Coordinated FATCA compliance.
- Responsible for all back-office duties as it relates to trade settlement, stock loan, portfolio financing and reporting.
- Created PowerPoint presentation and respective tear sheets; edited quarterly investor letter, calculated, and updated key financial ratios and performance metrics.
- Developed business plan for incremental asset growth

**Tugar Capital Management, Dallas, TX** (Team spun out of Arbitex Asset to form Tugar Capital)

***Convertible Arbitrage Trader/Chief Operations Officer***

**August 2004-February 2013**

- Coordinated all asset raising and marketing efforts for the launch of a new product: hedged income tail risk fund
- Sought out strategic opportunities with asset managers in the local or regional area
- Initiated meetings/calls with seeders, fund to funds, and fund accelerators
- Maintained all capital introduction relationships with local prime brokers
- A member of a convertible hedge fund start-up overseeing all functions as they relate to Trading, Operations and Compliance and corresponding reporting

**Arbitex Asset Management, Dallas, TX****Convertible Arbitrage Trader****May 2003- August 2004**

- Worked at a \$1.3 billion convertible arbitrage hedge fund trading convertible bonds, equity, options, futures, and credit default swaps
- Managed SMAs to run pari- passu to main fund to minimize dispersion
- Modeled convertible bonds to uncover the relative cheapness/richness of that bond in relation to other underlying assets.
- Actively traded options and underlying equity to minimize credit risk and to take advantage of volatility swings
- Functioned as the point person for all prime brokerage relationships and reviewed all products and services including negotiating term financing agreements, margin agreements, operational support, securities lending, and portfolio reporting, in particular, risk management reporting

**Precept Capital Management, LLC, Dallas, TX****Head Trader****November 2001- December 2002**

- Directed all trading activities for a \$350 million plus hedge fund with no specific sector emphasis. Actively trading and monitoring options to minimize risk exposure
- Advised senior portfolio managers on trading trends and market news
- Managed junior traders and operational support staff
- Initiated and expanded relationships with sales traders and electronic trading vendors
- Evaluating and managed all aspects of soft-dollar expensing as it relates to research and modelling products

**Avalon Global Asset Management, LLC, San Francisco, CA** (Team spun-out of Montgomery Asset)**Senior Trader****January 2000- August 2001**

- Managed all trading aspects for a \$120 million global hedge fund specializing in technology, consumer products, and healthcare sectors.
- In depth experience executing trades in U.S., Latin America, Europe, and Emerging Europe markets
- Coordinated all efforts to create trading desk, including software applications and physical build out of desk
- Traded options for speculation and hedging strategies

**Montgomery Asset Management, LLC, San Francisco, CA****Trader****January 1997 - January 2000**

- Traded US equities for a \$13 billion emerging markets mutual fund company, with primary responsibility for trading IPOs and hedge fund products.
- Traded WRAP programs for high net worth accounts
- Created and managed IPO Calendar and interfaced with various syndicate desks
- Interfaced with multiple portfolio managers in various sector disciplines

**Business Analyst****April 1996 - January 1997**

- ▽ Analyzed and advised senior management on "special" projects for investment, trading, and operations department.
- ▽ Managed projects requiring coordination of Investment, Operations, and Compliance Departments
- ▽ Coordinated automation of Trading desk, Operations, and Compliance departments
- ▽ Acted as liaison between programmers and the investment community

**Dallas Police and Fire Pension Fund, Dallas, TX****Member of the Board of Trustees**

- Non-member Trustee
- Mayoral Appointed Trustee

**September 2017 - August 2022  
January 2023 - April 2025****EDUCATION****Cornell University, Ithaca, NY**

Bachelor of Arts, Government

**PROFESSIONAL AFFILIATIONS AND SKILLS**

Texas Wall Street Women (Member) Chaired Education Committee, Chaired Volunteer Committee

Foundation for the Education for Woman (FEYW): Advisory Council Member

Series 65 (Investment Advisor)

Proficient in Spanish

# Tony Scavuzzo, CFA



Tony Scavuzzo, CFA is a Managing Principal and joined Castle Creek® in 2009. He sits on the Investment Committee for the Castle Creek funds and works with the board of directors at several portfolio companies including Texas Community Bancshares (TCBS), Third Coast Bancshares (TCBX), Blue Ridge Bancshares (BRBS), Pathfinder Bancorp (PBHC), McGregor Bancshares, Central Payments LLC, and Marstone, Inc.

Previous board experience includes over a dozen other companies. He also serves on various board committees regarding governance, compensation, risk, and asset/liability management. He is also a member of the Investment Committee for Castle Creek Launchpad Fund I, a venture capital fund focused on the intersection of Fintech and Community Banking.

Prior to joining the firm, Mr. Scavuzzo worked in an operating role for the Chief Executive Officer at MB Financial Bank (MBFI) in Chicago where he was responsible for evaluation of merger and acquisition opportunities and capital investment strategy. He also held positions in various departments including corporate treasury, asset/liability management, wealth management, and credit analysis.

Mr. Scavuzzo is currently a member of the Board of Trustees for the Dallas Police and Fire Pension System and a member of the CFA Society of Dallas/Fort Worth. He is also a member of The Dallas Petroleum Club.

He was formerly Treasurer and member of the Board of Directors for the CFA Society of San Diego and past Chairman of the Finance Committee for the CFA Society of Chicago. Mr. Scavuzzo received his MBA from the University of Chicago Booth School of Business and graduated with a BBA in Finance from the University of Iowa. He is also a CFA Charterholder.